



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/336,672 06/17/99 HERRATH M SCRIP1100

0242/0721  
GRAY CARY WARE & FREIDENRICH LLP  
4365 EXECUTIVE DRIVE  
SUITE 1600  
SAN DIEGO CA 92121-2189

NOT ASSIGNED

1617

DATE MAILED:

07/21/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1088.

- ☒ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. The following additional claims fees are due:  
\$ 198 for 11 total claims over 20.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:  
☒ is missing or unsigned.  
☐ does not cover the newly submitted items.  
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).  
☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

B. Monroe  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

11/02/1999 ASHLE 00000001 0718955 09336672  
01 C:201 380.00 CH  
02 C:203 99.00 CH  
03 C:205 65.00 CH  
U.S. 824



PATENT

Attorney Docket No.: SCRIP1100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Matthias G. von Herrath

Application No.: 09/336,672

Filed: June 17, 1999

For: COMPOSITIONS AND METHODS FOR  
THE TREATMENT OR PREVENTION  
OF AUTOIMMUNE DISORDERS

) Group Art Unit: 1617

) Examiner: Unassigned

) CERTIFICATION UNDER 37 CFR § 1.8

) I hereby certify that the documents referred to as  
) enclosed herein are being deposited with the United  
) States Postal Service as first class mail on this date  
) **October 25, 1999**, in an envelope addressed to:  
) Assistant Commissioner for Patents, Washington, D.C.  
) 20231

) Elizabeth Perez  
) (Name of person mailing paper)

) E Perez  
) Signature

) 10/25/99  
) Date

Box Missing Parts  
Assistant Commissioner of Patents  
Washington, D.C. 20231

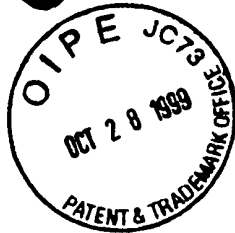
**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts of Application mailed July 21, 1999  
enclosed are:

1. A copy of the Notice to File Missing Parts of Application;
2. An executed Declaration for Patent Application;
3. A Power of Attorney by Assignee;
4. A Verified Statement (Declaration ) Claiming Small Entity Status;
5. A Recordation Form Cover Sheet and executed Assignment; and
6. Petition for Extension of Time (2 months).

In re Application of:  
Matthias G. von Herrath  
Application No.: 09/336,672  
Filed: June 17, 1999  
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PATENT  
Attorney Docket No.: SCRIP1100

The filing fee has been calculated as shown below:

	Number Filed		Number Extra		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	31-20	=	11	X	\$09	\$18	=	\$99.00	\$ .00
Independent Claims	3-3	=	0	X	\$39	\$78	=	\$ .00	\$ .00
Multiple Dependent Claims Presented: ___ Yes <u>X</u> No					\$130	\$260		0	0
					BASIC FEE			\$380.00	\$760.00
					TOTAL FEE			\$479.00	\$

X The Commissioner is hereby authorized to charge payment of the TOTAL FEE of \$774.00: \$479.00 Filing Fee; \$65.00 surcharge fee for missing parts of the application, \$40.00 recordation fee for one (1) assignment, and \$190.00 for the two-month Extension of Time, or credit any overpayment to Deposit Account No. 07-1895. A duplicate copy of this sheet is enclosed.

X Any additional filing fees required under 37 C.F.R. 1.16.  
X Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

Lisa A. Haile, Ph.D.  
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Telephone: (858) 677-1456  
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Date: 10/25/99

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